



Vincent L. Capuano Albert J. Fasulo II*

Judith U. Kim

G. Maze

L. Samulique G. Uy Bryan L. Skelton Robert A. Schwartzman John J. Figueroa Timothy A. Doyle Jennifer R. Mahalingappa

Registered Patent Agents Karen R. Markowicz Senior Counsel Nancy J. Leith Ann E. Summerfield Helene C. Carlson R 1600/2901 Gaby L. Longsworth
Matthew J. Doyld 2
DEC 27

Admitted only in Virgin Admitted only in Texas Practice Limited to

December 27, 2002

WRITER'S DIRECT NUMBER: (202) 218-7834 INTERNET ADDRESS: BHAANES@SKGF.COM

Via Hand-Carry Examiner Eileen B. O'Hara Art Unit 1646

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/826,212; Filed: April 5, 2001 **Tumor Necrosis Factor Receptor 5**

Inventors:

Wei et al.

Our Ref:

1488.1280006/EKS/EJH/SJE

Sir:

Transmitted herewith for appropriate action are the following documents:

- Fee Transmittal Form PTO-SB/17; 1.
- Amendment and Reply Under 37 C.F.R. §1.111; 2.
- Statement Concerning the Deposited cDNA Clone; 3.
- First Supplemental Information Disclosure Statement; 4.
- Form PTO-1449 two (2) pages listing the cited documents; 5.
- Copies of the cited documents; 6.
- Courtesy copy of Information Disclosure Statement and Form PTO-1449 filed on 7. October 2, 2001;
- Courtesy copy of 37 documents on the Form PTO-1449 filed on October 2, 2001; 8.
- PTO-2038 Credit Card Payment Form for \$612.00 to cover: 9.

\$180.00 - Extra claims fee;

\$252.00 - Extra independent claims fee; and

\$180.00 - Information Disclosure Statement fee; and

10. One (1) return postcard. Commissioner for Patents December 27, 2002 Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Elizabeth J. Haanes, Ph.D.

Attorney for Applicants Registration No. 42,613

EJH/SJE:krh Enclosures

SKGF_DC1:84559.1

Under the Paperwork Reduction Act of 1995, no persons

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 612.00

Complete if Known		
Application Number	09/826,212	
Filing Date	April 5, 2001	m
First Named Inventor	Wei et al.	22
Examiner Name	O'Hara, Eileen B.	밀으
Group Art Unit	1646	C 22
Attorney Docket No.	1488.1280006/EKS/EJ	

Check Credit card Money Order None O
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any over payments Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036. Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. 1051 130 2052 25 Surcharge - late filing fee or oath 2052 25 Surcharge-late provisional filing fee or cover sheet 1053 130 Non-English specification 1812 2,520 For filing a request for ex parte reexamination 1804 920* Requesting publication of SIR prior to Examiner action 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action 1251 110 2251 55 Extension for reply within first month 1252 400 2252 200 Extension for reply within third month
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any over payments Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036. Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. 1051 130 2052 25 Surcharge - late filing fee or oath 2052 25 Surcharge-late provisional filing fee or cover sheet 1053 130 Non-English specification 1812 2,520 For filing a request for ex parte reexamination 1804 920* Requesting publication of SIR prior to Examiner action 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action 1251 110 2251 55 Extension for reply within first month 1252 400 2252 200 Extension for reply within third month
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1252 400 2252 200 Extension for reply within second month FEE CALCULATION 1253 920 2253 460 Extension for reply within third month
FEE CALCULATION 1253 920 2253 460 Extension for reply within third month
1. BASIC FILING FEE 1254 1,440 2254 720 Extension for reply within fourth month
Large Entity Small Entity 1255 1,960 2255 980 Extension for reply within fifth month
Fee Fee Fee Fee Fee Fee Description Fee Paid 1401 320 2401 160 Notice of Appeal Code (\$)
1001 740 2001 370 Utility filing fee 1402 320 2402 160 Filing a brief in support of an appeal
1002 330 2002 165 Design filing fee 1403 280 2403 140 Request for oral hearing
1003 510 2003 255 Plant filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding
1004 740 2004 370 Reissue filing fee 1452 110 2452 55 Petition to revive - unavoidable
1105 160 2005 80 Provisional filing fee 1453 1,280 2453 640 Petition to revive - unintentional
SUBTOTAL (1) (\$) 0 1501 1,280 2501 640 Utility issue fee (or reissue)
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1502 460 2502 230 Design issue fee
Fee from Extra Claims below Fee Paid Total Claims 104 - 94** = 10 x 18 = \$180.00 Total Claims 104 - 94** = 10 x 18 = \$180.00
Independent Claims 10 - 7** = 3 x 84 = \$252.00 1460 130 Petitions to the Commissioner
Multiple Dependent = = 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)
Large Entity Small Entity Fee Fee Fee Fee Fee Description 1806 180 Submission of Information Disclosure Stmt 180.0
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 8021 40 Recording each patent assignment per property (times number of properties)
1201 84 2201 42 Independent claims in excess of 3 1809 740 2809 370 Filing a submission after final rejection (37 CFR 1.129(a))
1204 84 2204 42 **Reissue independent claims 1810 740 2810 370 For each additional invention to be examined (37 CFR 1.129(b))
over original patent 1205 18 2205 9 **Reissue claims in excess of 20 1801 740 2801 370 Request for Continued Examination (RCE)
and over original patent 1802 900 Request for expedited examination of a design
SUBTOTAL (2) (\$) 432.00 Other fee (specify)
**or number previously paid, if greater, For Reissue, see above
* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00
SUBMITTED BY Complete (if applicable)
Name (Print/Type) Elizabeth J. Haanes, Ph.D. Registration No. 42,613 Telephone 202-371-2600
Signature Date Date Date Date Date

WARNING*) Information on this form that become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.1# and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231.